

*Design Science Research , June 1-3, 2022*  
<https://www.usf.edu/business/desrist/>

# Human-Centered AI: Bringing Design Science to AI Applications

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Professor, Department of Computer Science

Member, National Academy of Engineering



Photo: BK Adams



Interdisciplinary research community

- Computer Science & Info Studies
- Psych, Socio, Educ, Jour & MITH

[hcil.umd.edu](http://hcil.umd.edu)  
[vimeo.com/72440805](https://vimeo.com/72440805)

# *Designing the User Interface*

## Design Theories

Direct manipulation

Menus, speech, search

Social Media

Information Visualization

[www.cs.umd.edu/hcil/DTUI6](http://www.cs.umd.edu/hcil/DTUI6)



**Sixth Edition: 2016**

# What is Human-Centered AI?



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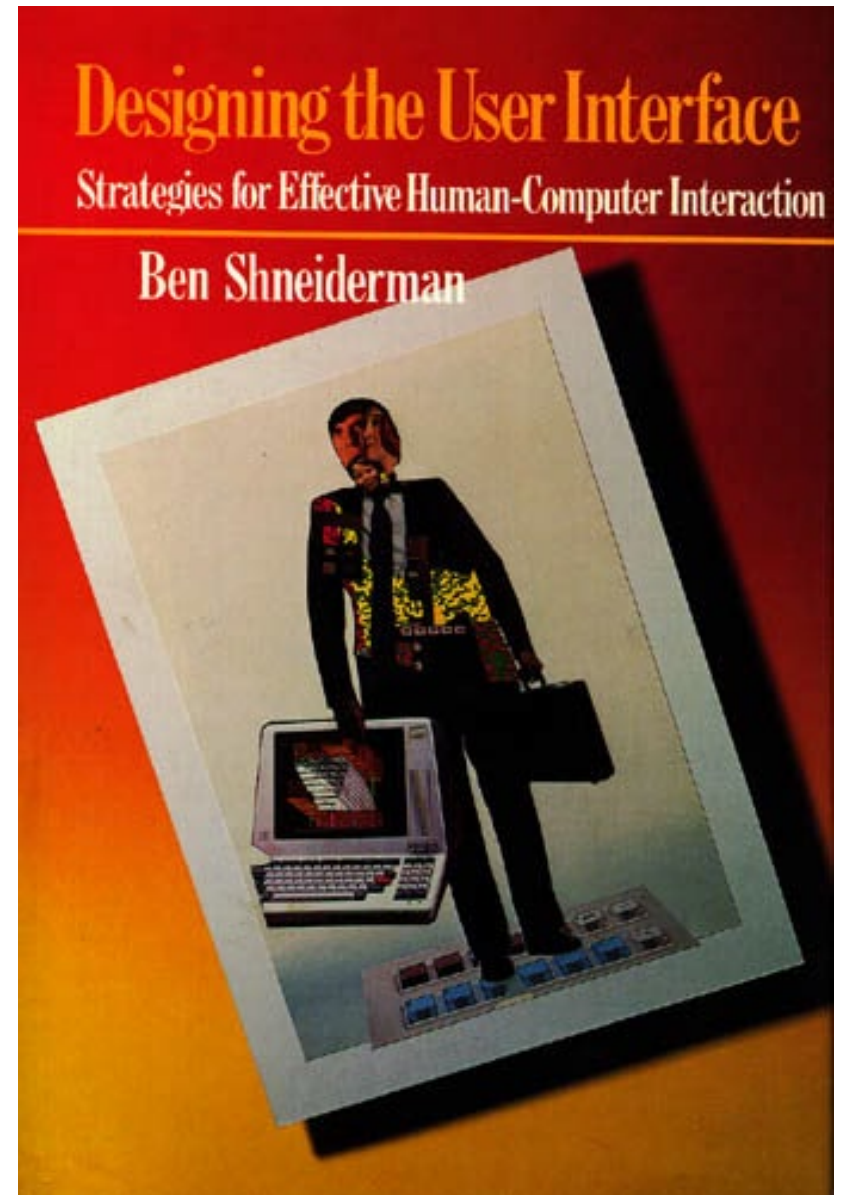
**Amplify, Augment, Empower & Enhance People**

# HCAI Framework



# *Designing the User Interface*

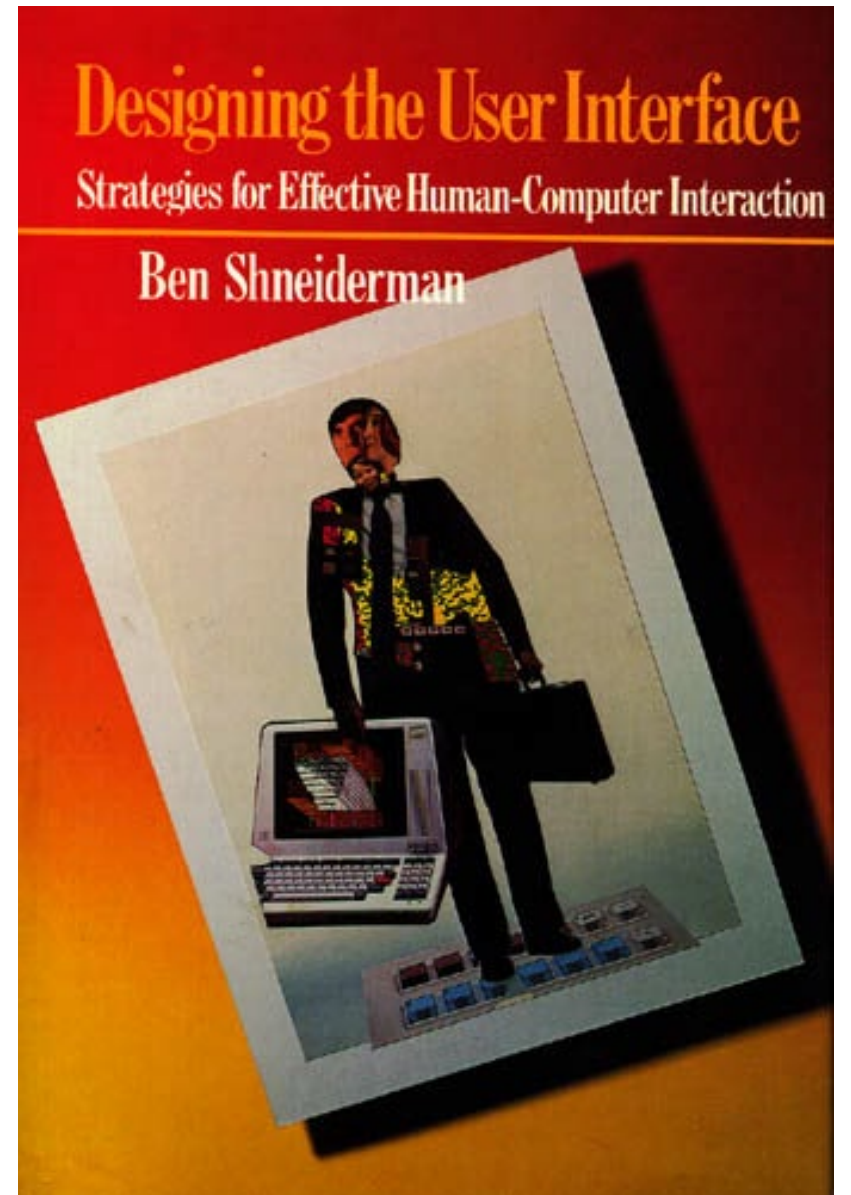
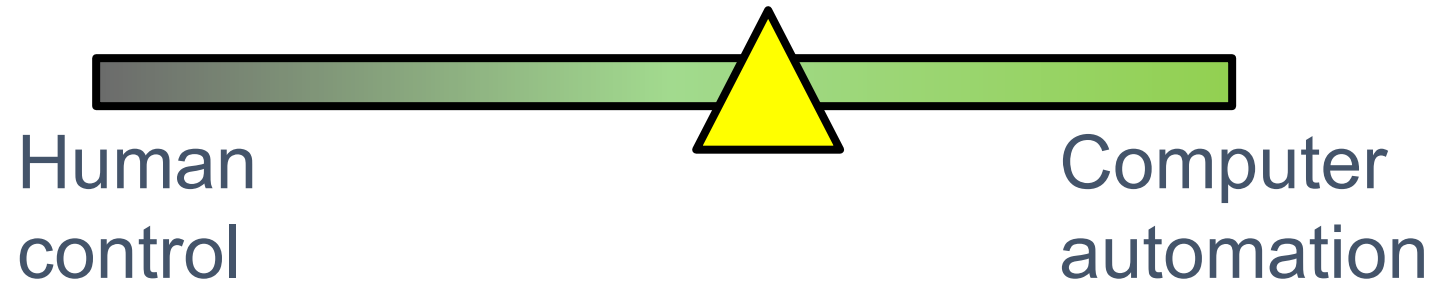
Balancing automation & human control



**First Edition: 1986**

# *Designing the User Interface*

Balancing automation & human control



**First Edition: 1986**



# *Designing the User Interface*

Ensuring human control  
while increasing automation



**Sixth Edition: 2016**

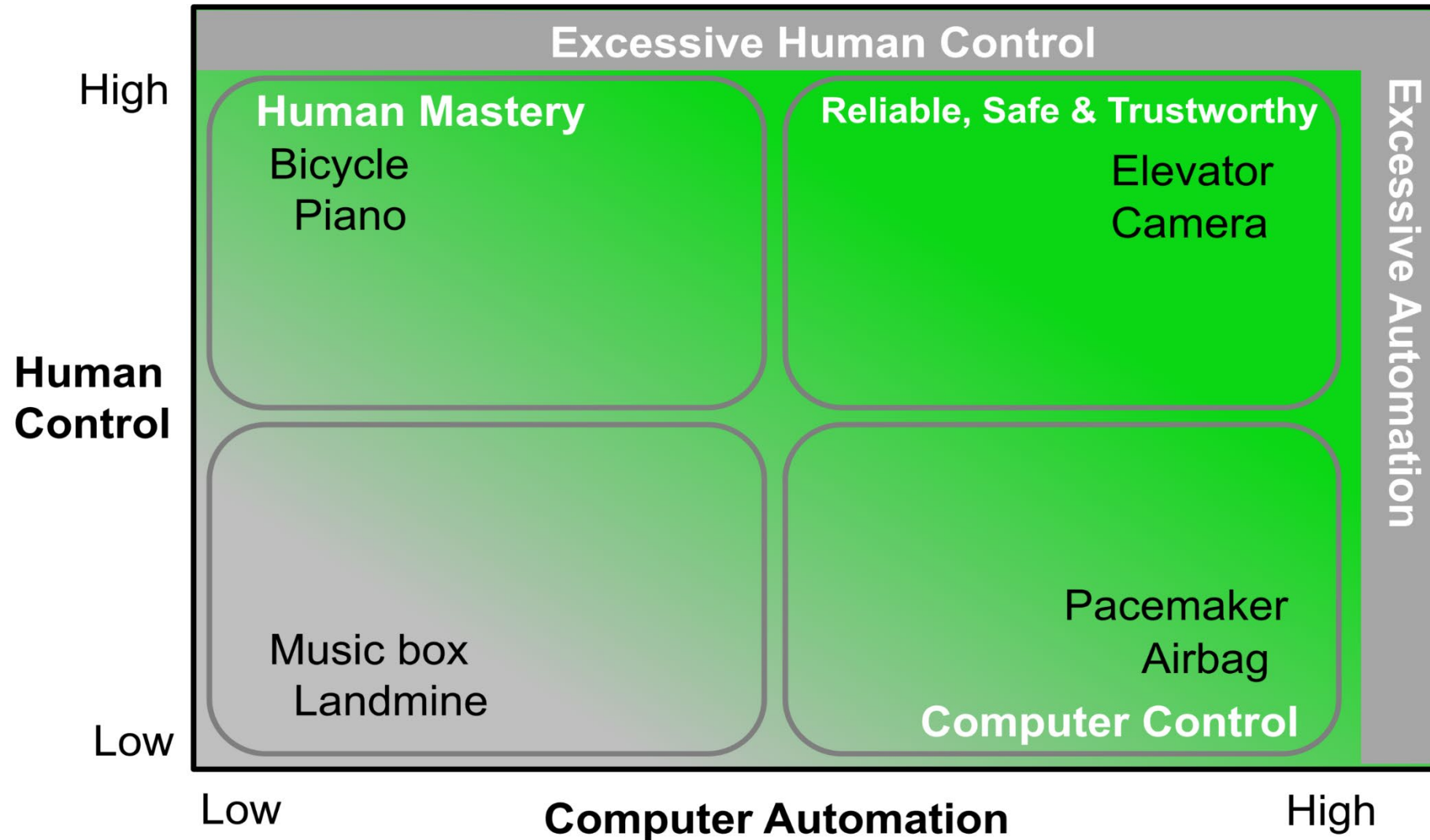
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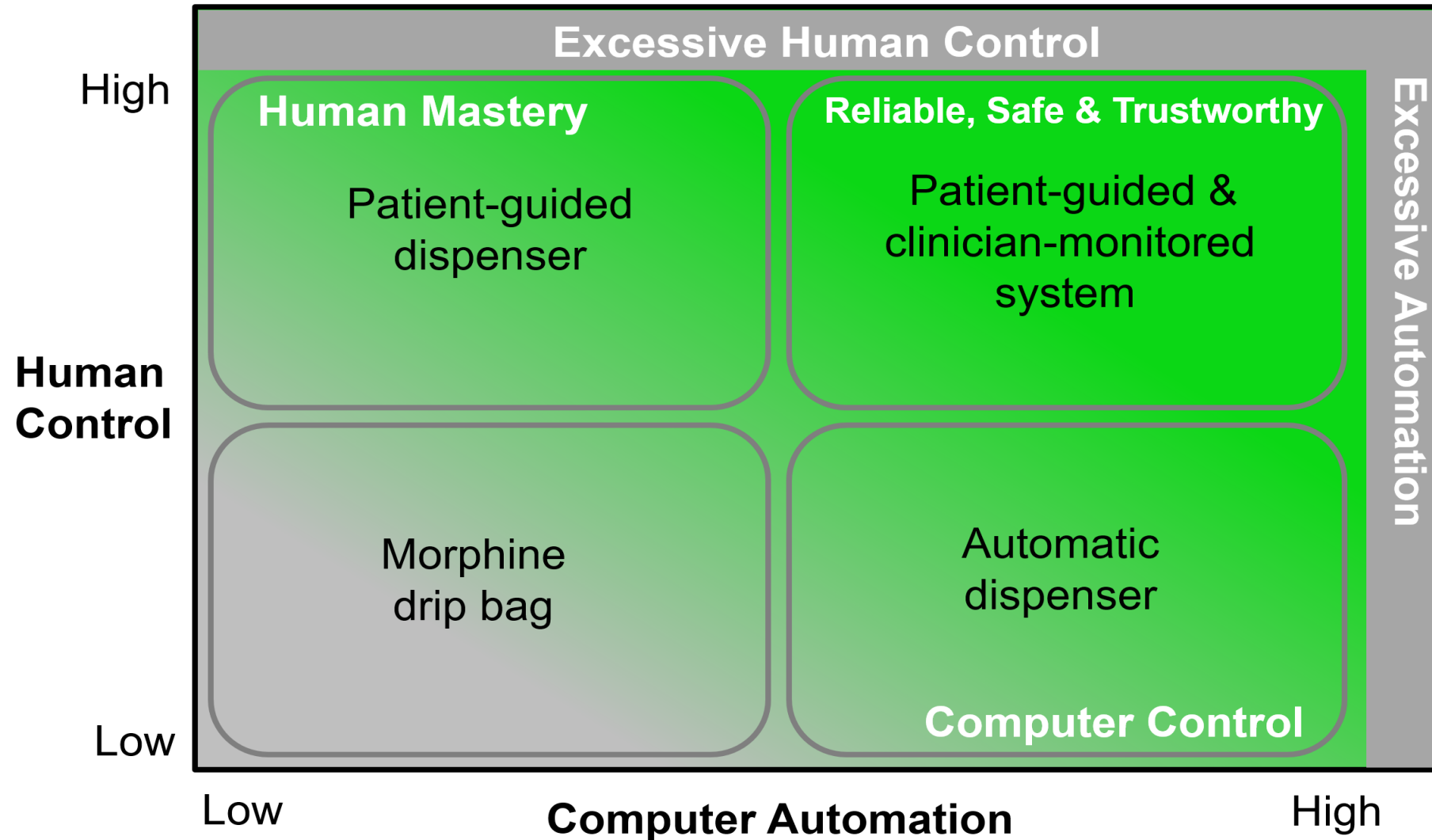
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# HCAI Framework



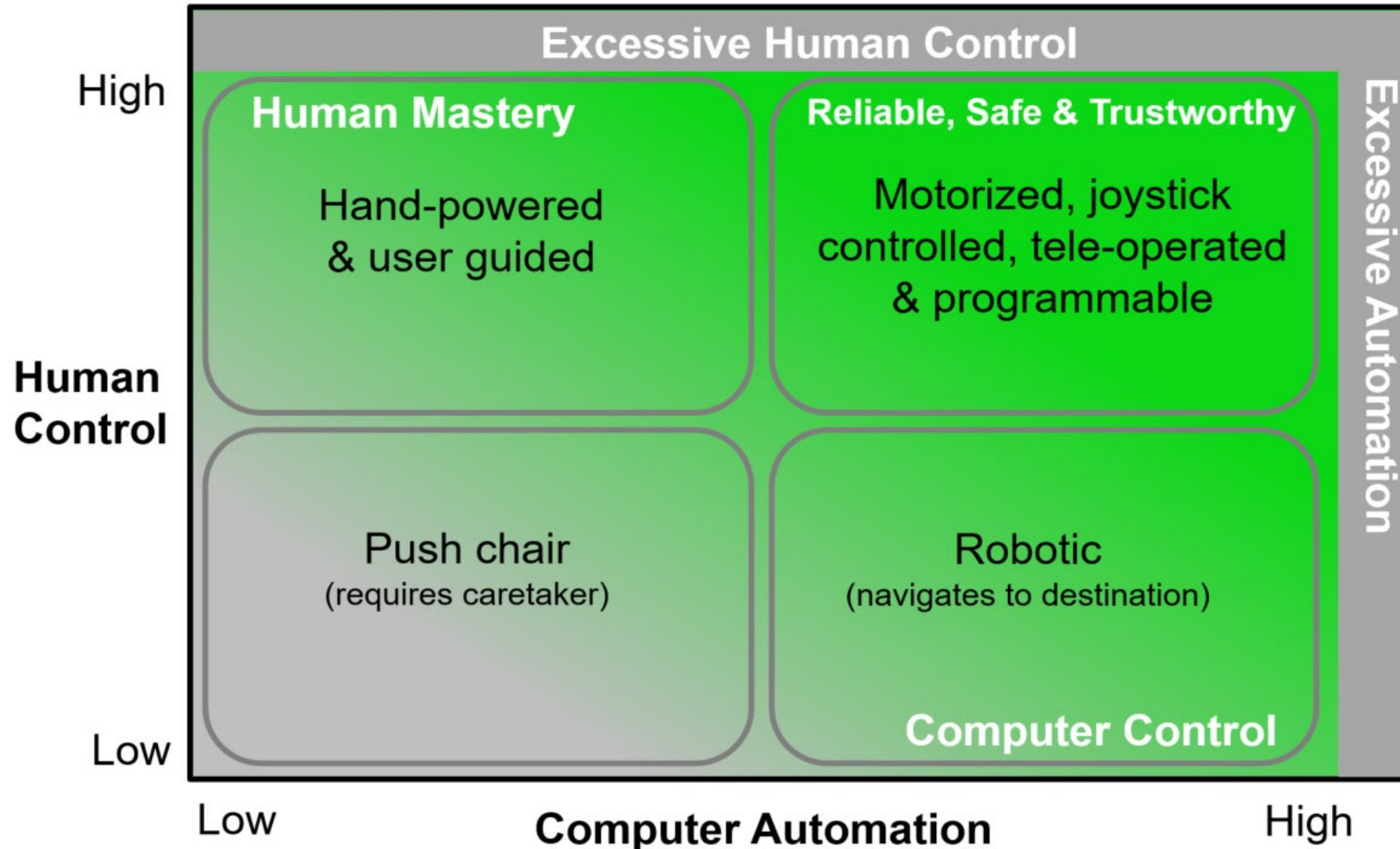
# HCAI Framework

## Pain Control Designs



# HCAI Framework

## Wheelchair Designs



# Design Metaphors

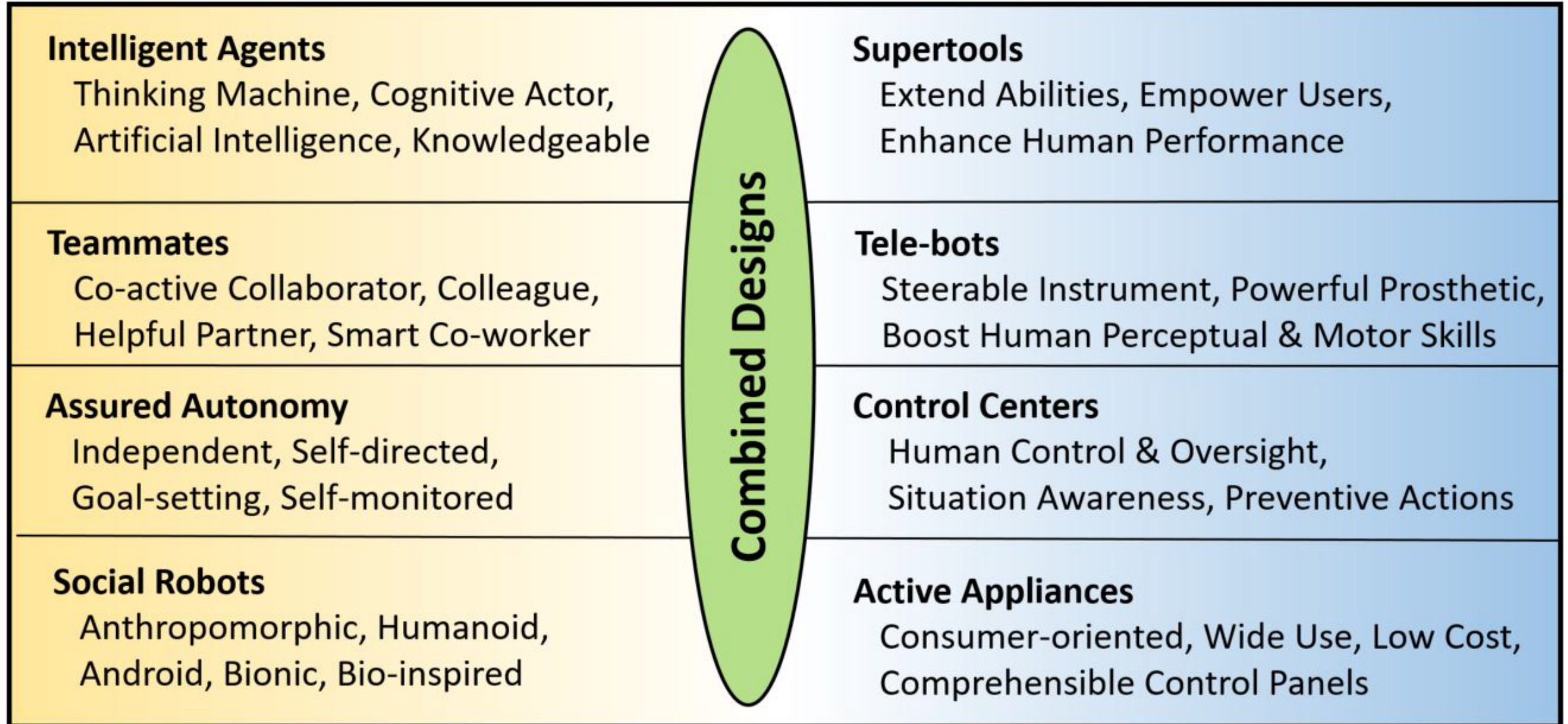


**“Robots are simply not people”:** Margaret Boden

**“Humans, not robots, are responsible agents”:** Joanna Bryson

- **Responsibility:** Only humans are liable, legally and morally
- **Distinctive capabilities of computers:** sophisticated algorithms, huge databases, superhuman sensors, information abundant displays, & powerful effectors
- **Human creativity:** passion, empathy, humility & intuition

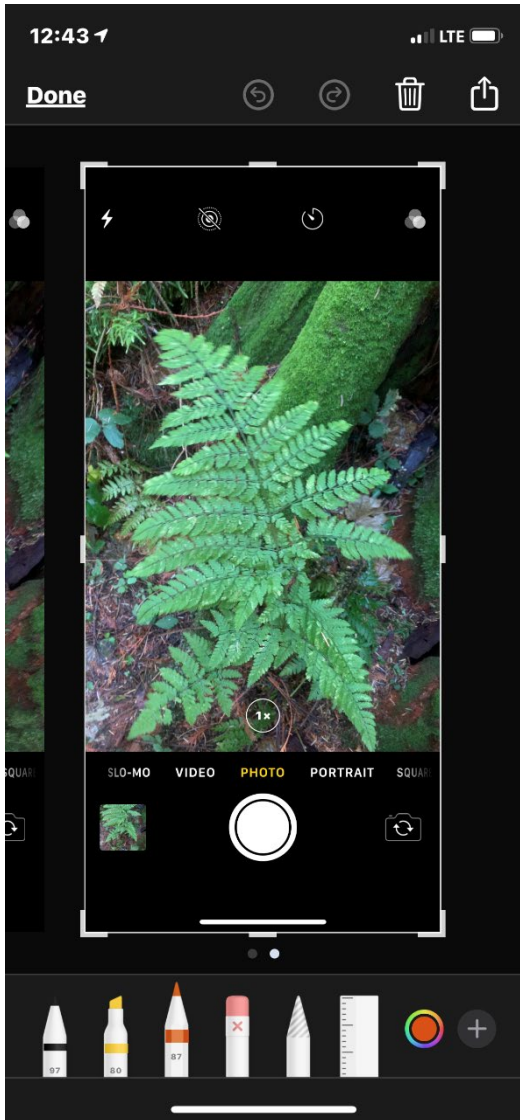
# Design Metaphors



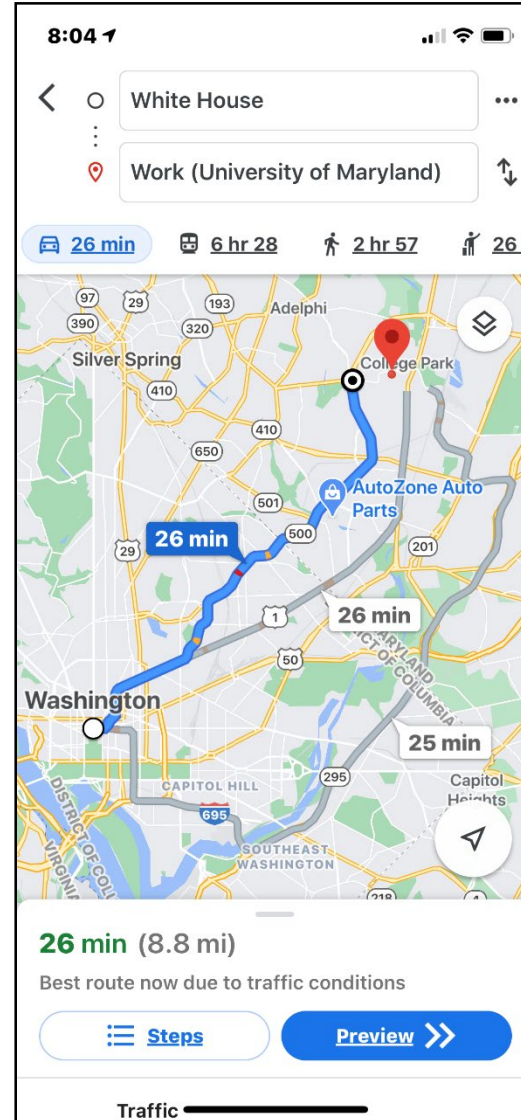


# Supertools

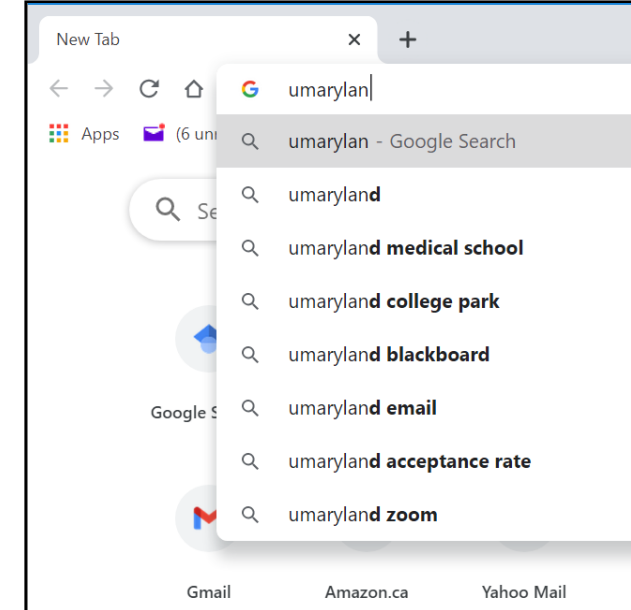
## Digital Camera Controls



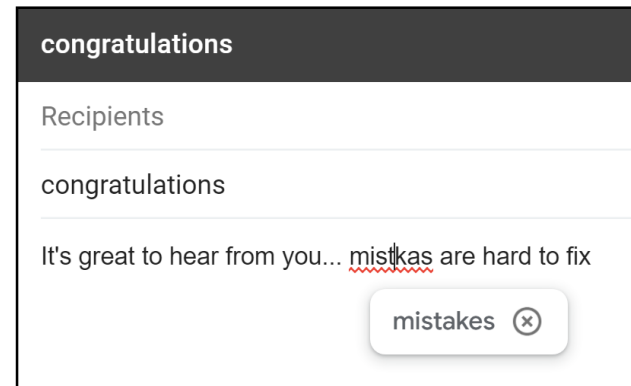
## Navigation Choices



## Texting/Search Autocompletion



## Spelling correction



# Supertool: Bloomberg Terminal



# Active Appliances

Thermostat, Coffee maker, Rice cooker, Roomba



Google Nest



Panasonic Rice Cooker



Cuisinart Coffee Maker



iRobot Roomba

Dishwasher, Clothes Washer/Dryer



Miele Dishwasher

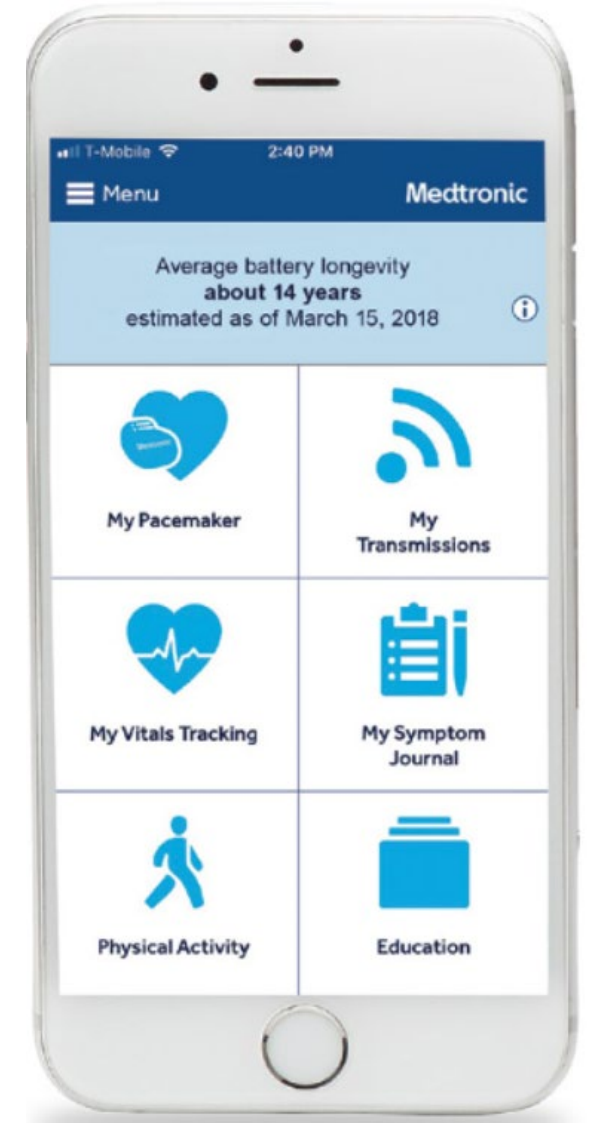
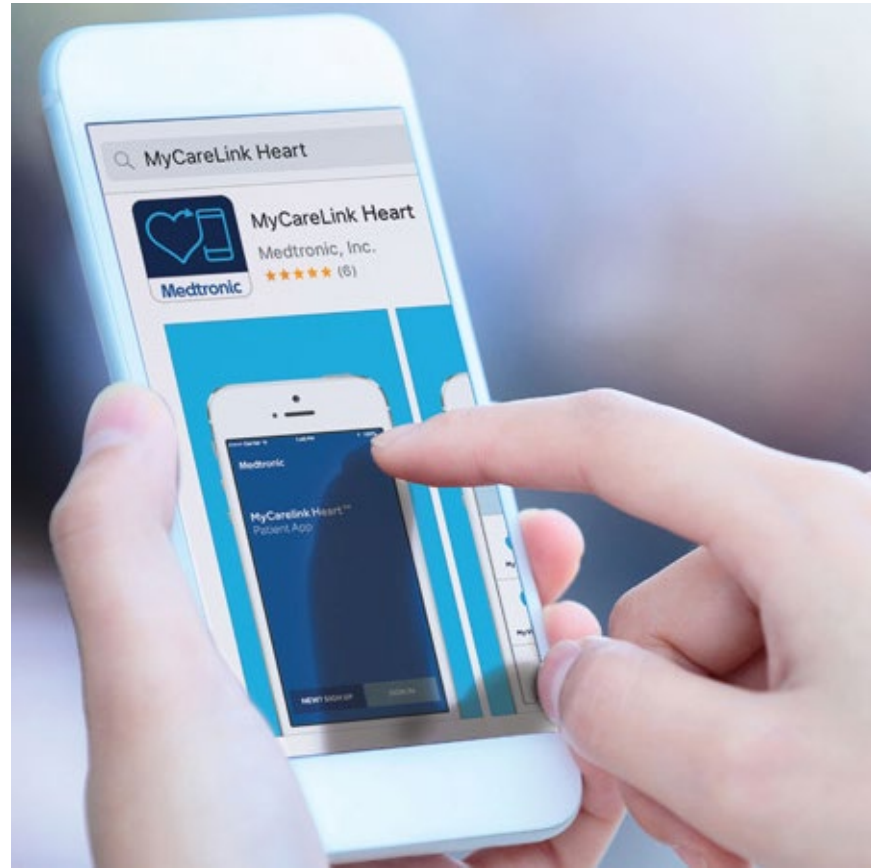
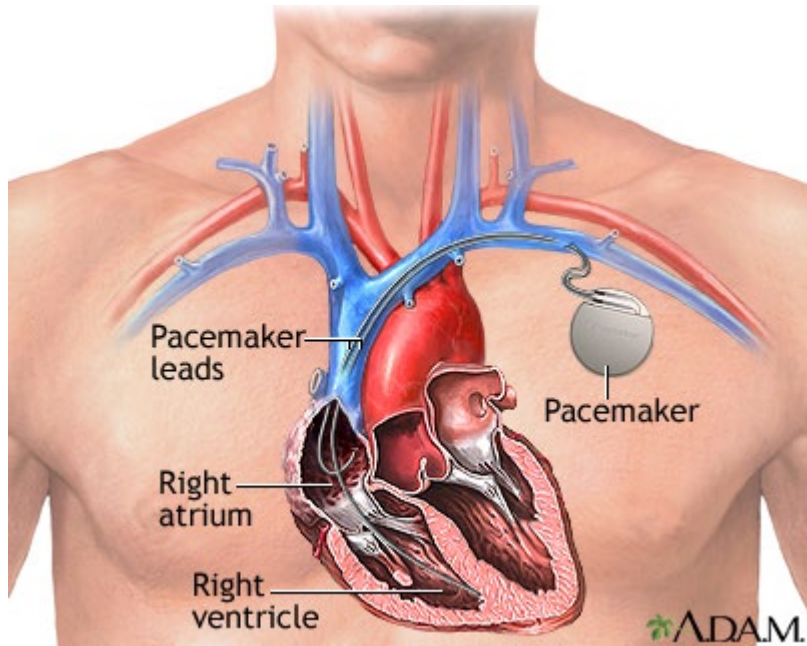


General Electric Washer



General Electric Dryer

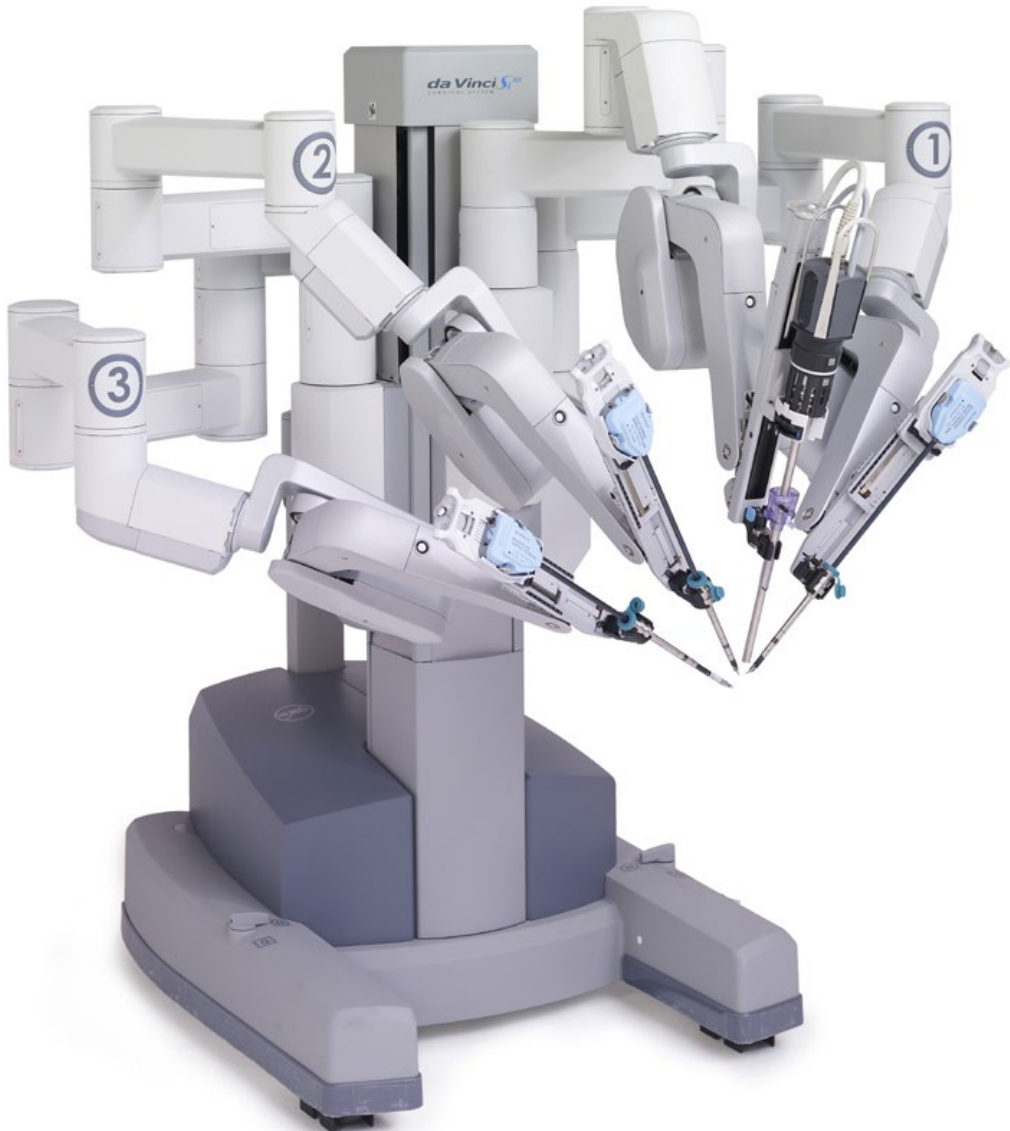
# Active Appliance: Implanted Cardiac Pacemakers



# Tele-Bot: NASA Mars Rovers



# Tele-Bot: Da Vinci Surgical System



“Robots don’t perform surgery. Your surgeon performs surgery with da Vinci by using instruments that he or she guides via a console.”

<https://www.davincisurgery.com/>

# Control Center: Hospital



# Control Center: Counter Terrorism

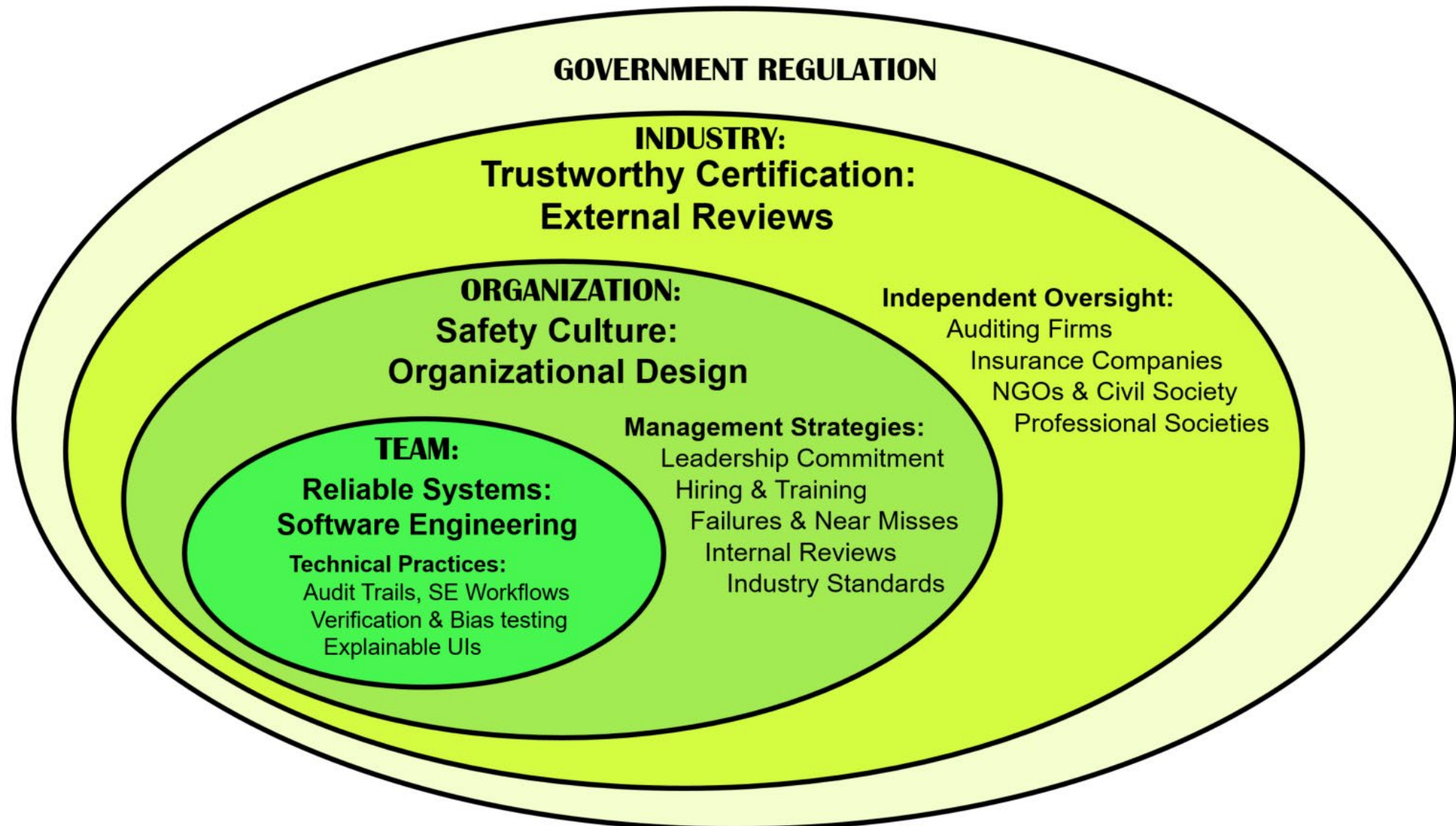




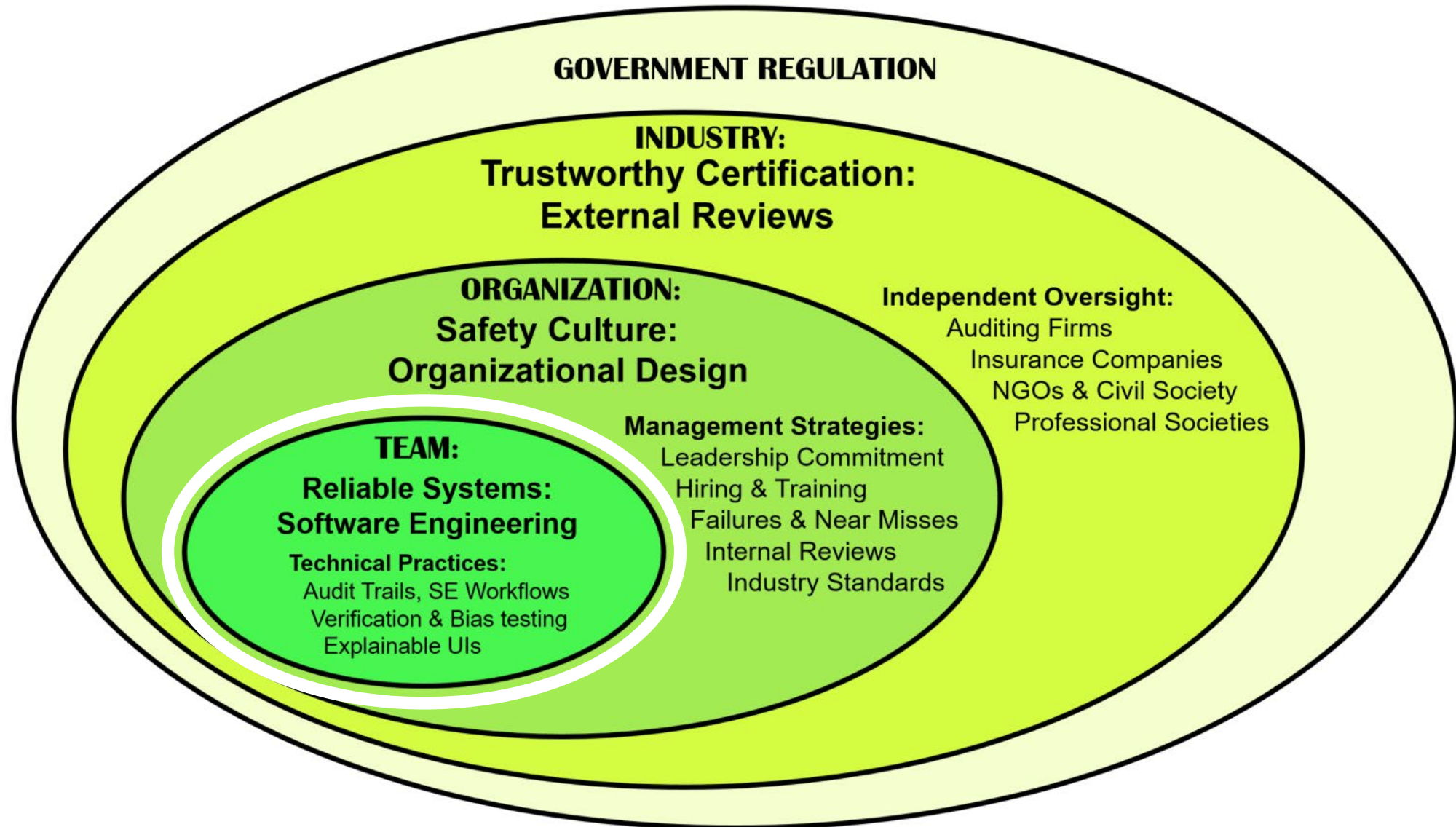
# Governance Structures



# Governance Structures for Human-Centered AI



# Governance Structures for Human-Centered AI



# TEAM

## Reliable systems based on software engineering practices

- 1) Audit trails and analysis tools
- 2) Software engineering workflows
- 3) Verification & validation testing
- 4) Bias testing to improve fairness
- 5) Explainable user interfaces

# TEAM

## Reliable systems based on software engineering practices

- 1) Audit trails and analysis tools
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# Reliable Systems

Software engineering practices for a TEAM

## 5) Explainable user interfaces

- Retrospective explanations (local & global)

New Goal: **Prevent** confusion and surprise

- Prospective user interfaces
- Interactive, visual, exploratory

# Mortgage Loan Explanations

## Post-hoc Report

Enter amounts to request mortgage:

Mortgage amount requested

Household monthly income

Liquid assets

# Mortgage Loan Explanations

## Post-hoc Report

Enter amounts to request mortgage:

Mortgage amount requested	<input type="text" value="375000"/>
Household monthly income	<input type="text" value="7000"/>
Liquid assets	<input type="text" value="48000"/>

Enter amounts to request mortgage:

Mortgage amount requested	<input type="text" value="375000"/>
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Liquid assets	<input type="text" value="48000"/>

We're sorry, your mortgage loan was not approved. You might be approved if you reduce the Mortgage amount requested, increase your Household monthly income, or increase your Liquid assets.



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We're sorry, your mortgage loan was not approved. You might be approved if you reduce the Mortgage amount requested, increase your Household monthly income, or increase your Liquid assets.

## Prospective User Interface

Adjust sliders to report your situation:

Mortgage amount requested

Household monthly income

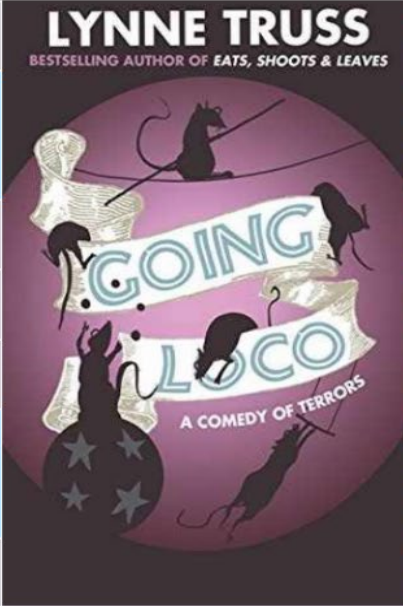
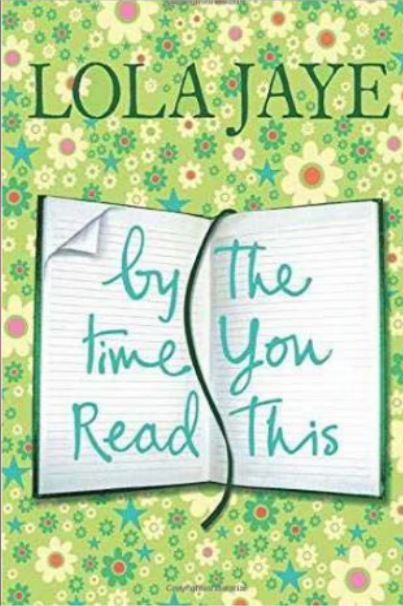
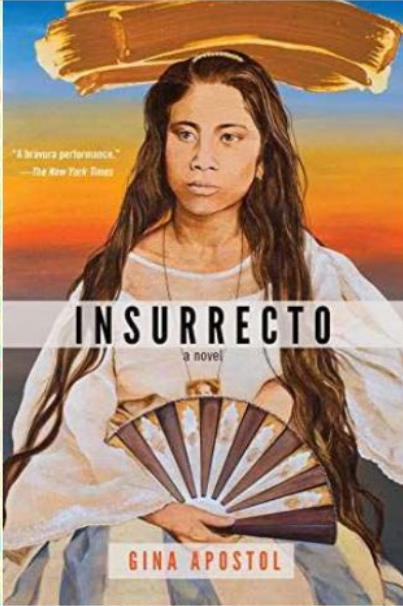
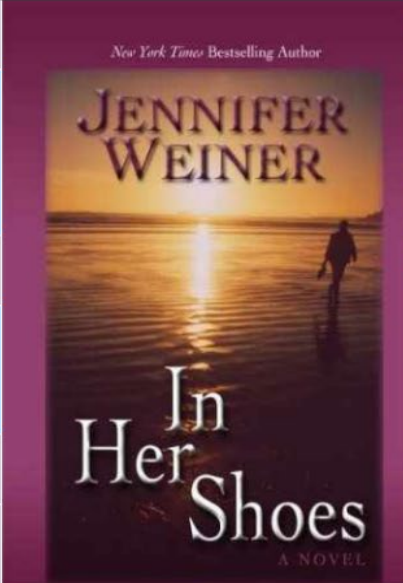
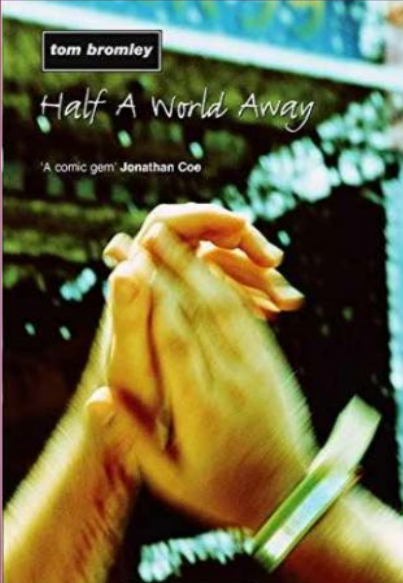

Liquid assets

Score needed for approval

Your score

The prospective user interface features three horizontal sliders for adjusting input values. The 'Mortgage amount requested' slider is set to 375000, 'Household monthly income' is set to 7000, and 'Liquid assets' is set to 48000. To the right, a vertical bar represents the score, with a green line indicating the 'Score needed for approval' and a blue section below it representing 'Your score'. A 'Done' button is located at the bottom right.

# Recommenders: Whichbook.net

Happy <input type="checkbox"/> Sad			
Funny <input checked="" type="checkbox"/> Serious			
Safe <input type="checkbox"/> Disturbing			
Expected <input type="checkbox"/> Unpredictable			
Larger than life <input type="checkbox"/> Down to earth			
Beautiful <input checked="" type="checkbox"/> Disgusting			
Gentle <input type="checkbox"/> Violent			
Easy <input type="checkbox"/> Demanding			
No sexual content <input checked="" type="checkbox"/> Explicit sexual content			
Conventional <input type="checkbox"/> Unusual			
Optimistic <input checked="" type="checkbox"/> Bleak			
Short <input type="checkbox"/> Long			
Select up to 4 sliders			

# Recommender Control Panels

## Modify Attributes

acousticness: 40

instrumentalness: 60

danceability: 80

valence: 60

energy: 40

**Slider technique**

## Recommended Songs

Calculate Recommendations

Task: Make a playlist of songs to listen to during your personal maintenance.

Click or to keep or dismiss a song.  
More info:

- Oblivion  
Grimes
- My December  
Linkin Park
- I've got that tune  
Chinese Man
- Got the Life  
Korn
- Good Riddance (Time of Y...  
Green Day
- Burn It To The Ground  
Nickelback

To get more songs, modify the attribute(s) and click "Calculate Recommendations" again.

## Create Your Better Life Index

Rate the topics according to their importance to you:

	-	+
Housing		
Income		
Jobs		
Community		
Education		
Environment		
Civic Engagement		
Health		
Life Satisfaction		
Safety		
Work-Life Balance		

# ORGANIZATION

## Safety Culture through Business Management Strategies

- 6) Leadership Commitment to Safety
- 7) Hiring and Training Oriented to Safety
- 8) Extensive Reporting of Failures & Near Misses
- 9) Internal Review Boards for Problems & Future Plans
- 10) Alignment with Industry Standard Practices

# INDUSTRY

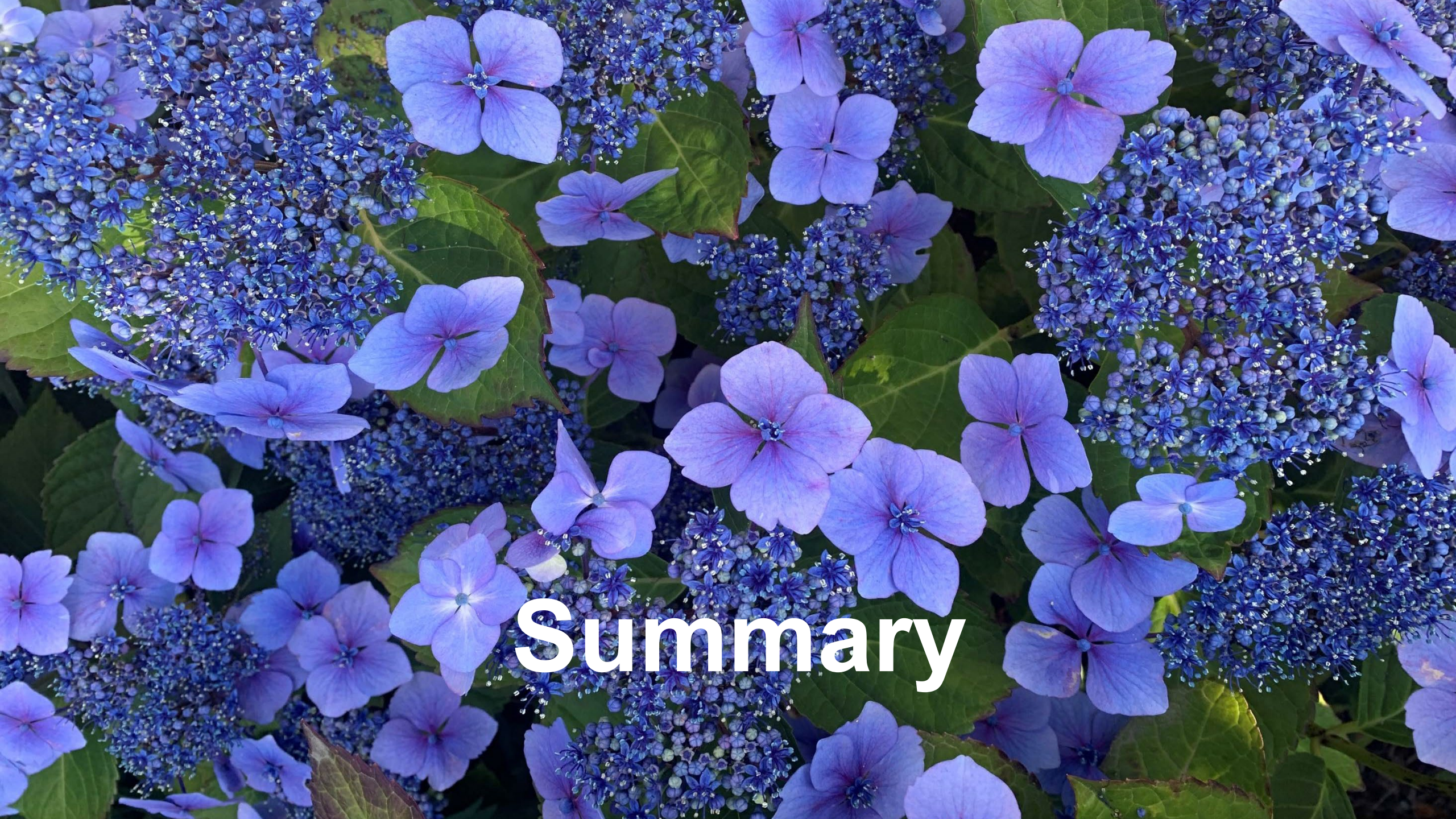
## Trustworthy Certification by Independent Oversight

- 11) Accounting Firms Conduct External Audits for HCAI Systems
- 12) Insurance Companies Compensate for Failures
- 13) Non-governmental & Civil Society Organizations
- 14) Professional Organizations & Research Institutes

# GOVERNMENT

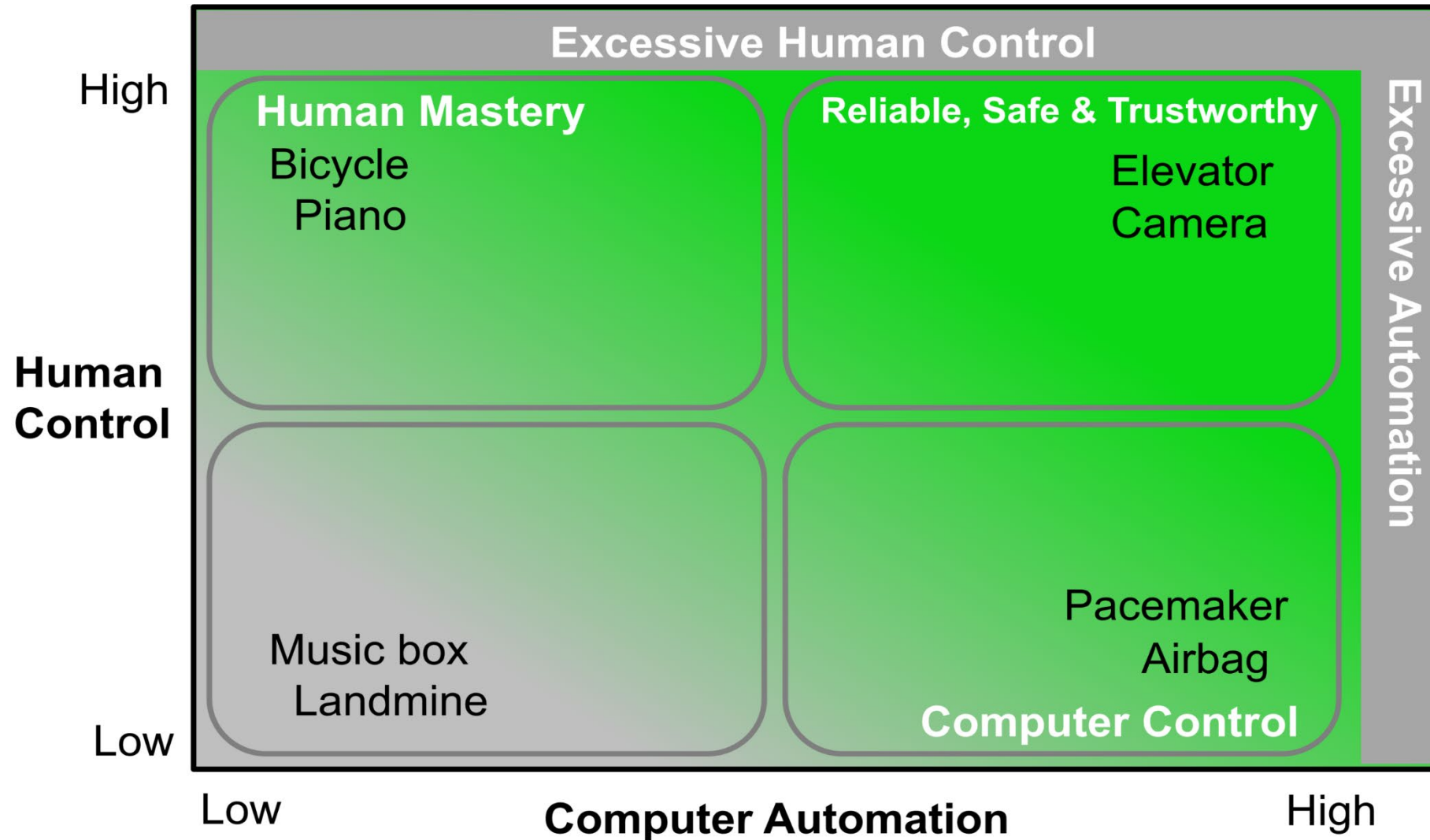
## Policies, Interventions, and Regulations

15) Regional, National, Local & Industry Specific Agencies



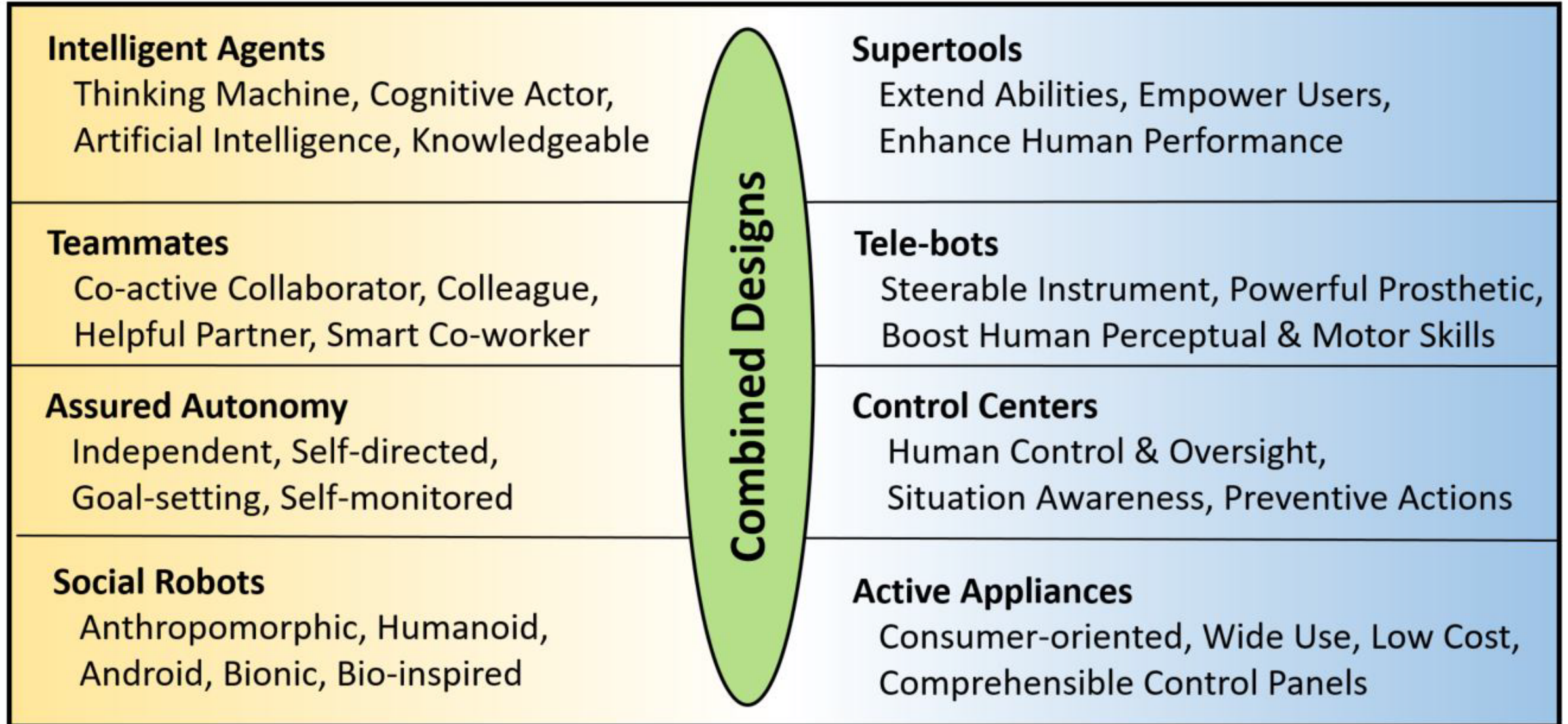
# Summary

# HCAI Framework

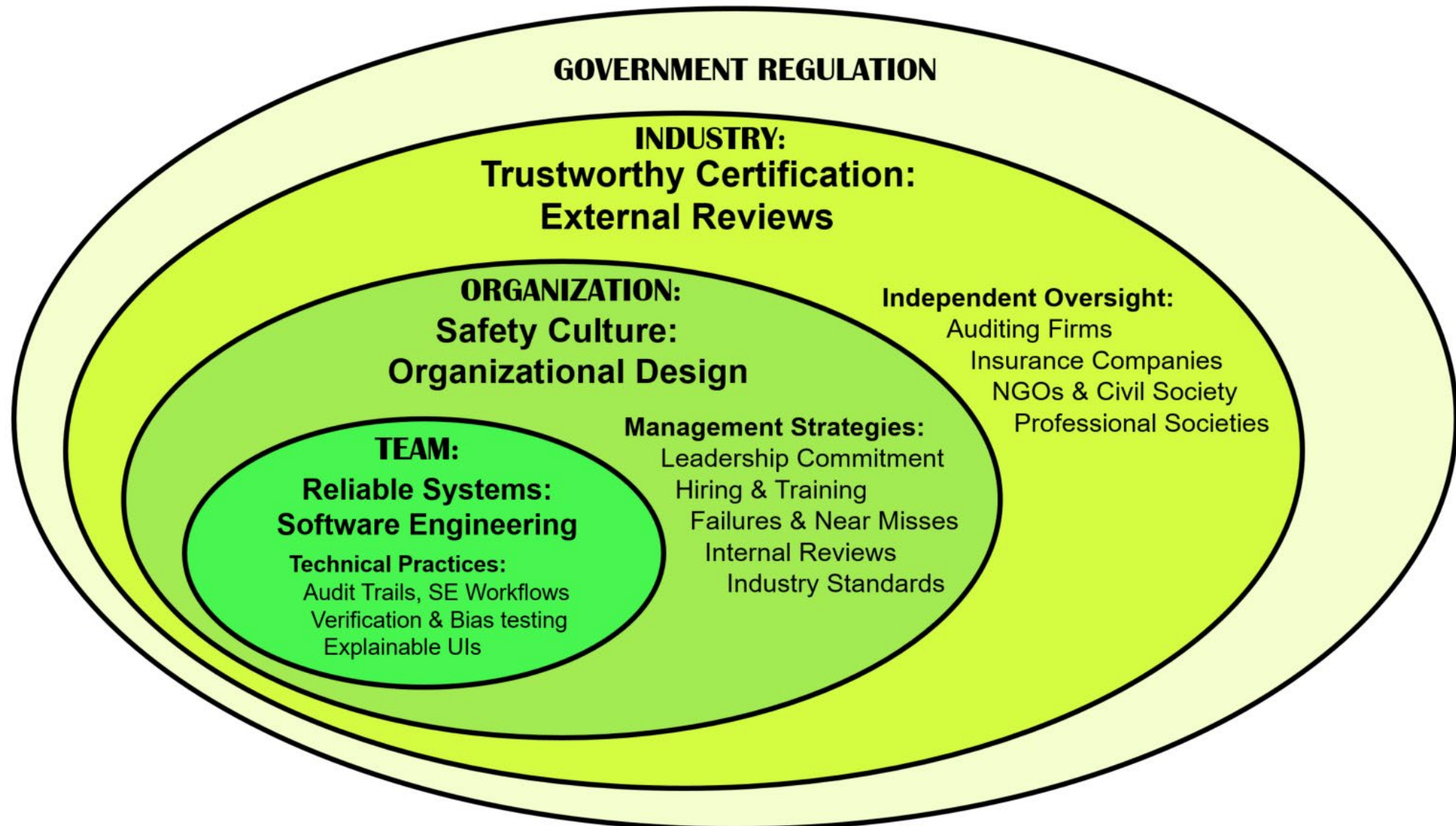




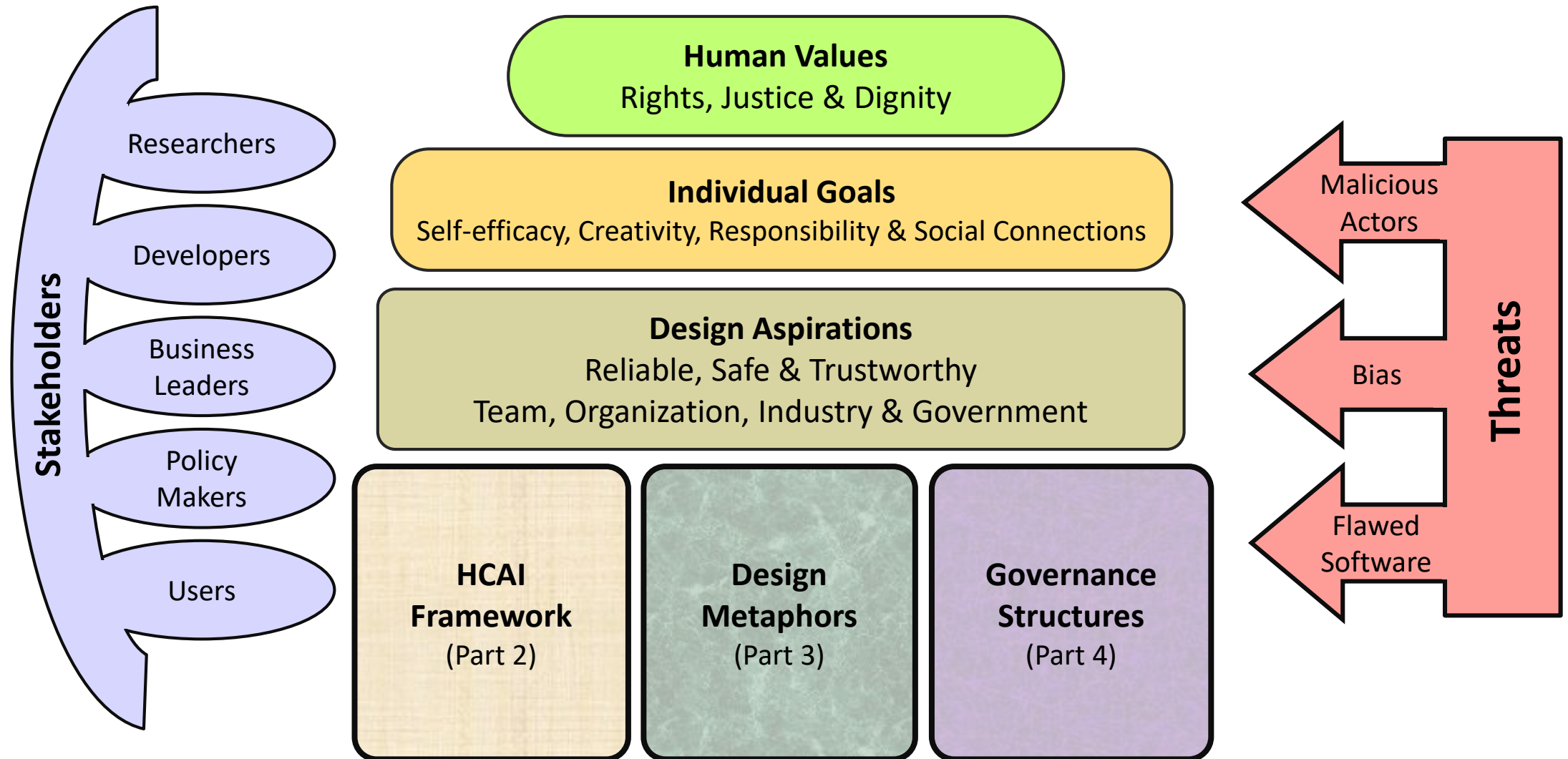
# Design Metaphors



# Governance Structures for Human-Centered AI



# Human-Centered AI



# Human-Centered AI

Oxford University Press (2022)

“it is one of the most important AI books in the last few years”  
— *Brian Clegg, UK Popular Science*

“excellent introduction...valuable to management who need to both understand how to better direct AI development and to require appropriate AI to solve market and social challenges.”  
— *David Teich, Forbes Magazine*

“a tour de force into the increasingly important topic of human-centred AI. A must read.”  
— *Virginia Dignum, Umeå University*



# The Future is Human-Centered

## Google Group

<https://groups.google.com/g/human-centered-ai>

## Twitter Account

@HumanCenteredAI

## Website

<https://hcai.site>



# The Future is Human-Centered



Human-Centered Artificial Intelligence: Reliable, safe & trustworthy, *International Journal of Human-Computer Interaction* 36, 6 (March 2020). <https://doi.org/10.1080/10447318.2020.1741118>

Design lessons from AI's two grand goals: Human emulation and useful applications, *IEEE Transactions on Technology & Society* 1, 2 (June 2020). <https://ieeexplore.ieee.org/document/9088114>

Bridging the gap between ethics and practice: Guidelines for reliable, safe, and trustworthy Human-Centered AI systems, *ACM Trans. on Interactive Intelligent Systems* 10, 4 (Oct 2020). <https://dl.acm.org/doi/10.1145/3419764>

Human-Centered Artificial Intelligence: Three fresh ideas, *AIS Trans. on Human-Computer Interaction* 12, 3 (Oct 2020). <https://aisel.aisnet.org/thci/vol12/iss3/1/>

Human-Centered AI, *NAS ISSUES* 37, 2 (Winter 2021). <https://issues.org/human-centered-ai/>

**Summary & resources:** <https://hcil.umd.edu/human-centered-ai/>

# Human-Centered AI

Oxford University Press

- January 2022

The book is well-structured and a delight to read. The coverage is comprehensive. But it will be controversial.

— Alan Mackworth, *University of British Columbia*

From design metaphors to the much needed governance structures, this new book by Ben Shneiderman is a tour de force into the increasingly important topic of human-centred AI. A must read.

— Virginia Dignum, *Umeå University*"

“it is one of the most important books on AI in the last few years”

— Brian Clegg, *UK Popular Science*

<https://global.oup.com/academic/product/human-centered-ai-9780192845290>





# The Future is Human-Centered

