POLICY



Number: 10-002

Title: Academic Grievance Procedure for Students

Responsible Office: Academic Affairs

Date of Origin: 7-1-74 Date Last Amended: 10-22-20 (technical) Date Last Reviewed: 10-22-20

I. PURPOSE & INTENT

The purpose of this Policy is to provide all undergraduate and graduate students taking courses within the University of South Florida an opportunity for objective review of facts and events pertinent to the cause of the academic grievance.

II. STATEMENT OF POLICY

Review of the facts and events pertinent to the cause of the academic grievance will be accomplished in a collegial, non-judicial atmosphere rather than an adversarial one, and shall allow the parties involved to participate. All parties will be expected to act in a professional and civil manner. These guidelines are meant to govern all colleges (exclusive of the MD and DPT programs within the College of Medicine and the College of Pharmacy to the extent they maintain procedures and processes for issues regarding professionalism).

In the case of Academic Integrity violations, the appeal or grievance of a decision or academic action regarding Academic Integrity is contained in <u>USF3.027 Academic Integrity of Students</u>.

III. <u>DEFINTION OF TERMS:</u>

"Academic Grievance" is a claim by an enrolled student receiving academic credit for a course that a specific academic decision or action (such as the assignment of a final grade or academic dismissal of a student or other grade assignment) has violated published policies and procedures, or has been applied to the grievant in a manner different from that used for other students.

"Administrative Officer" The Dean of the Office of Undergraduate Studies or the Dean of the Office of Graduate Studies.

"Burden of Proof" shall be upon the student such that the student challenging the decision, action or final grade assigned has the burden of supplying evidence that proves that the instructor's decision was incorrect. In cases where the academic decision is based on a deficiency in or a violation of a clinical or professional standard, the deficiency or violation may be considered sufficient proof to

support an academic failure or dismissal notwithstanding a student's success in other areas of academic performance.

"Dean" shall mean a College Dean, or the Dean of the Office of Undergraduate Studies, or the Dean of the Office of Graduate School, or the equivalent as indicated—or in all cases a "Dean's designee" appointed to handle academic grievances for the unit.

"Department Chair/School Director" shall mean the academic head of a college department or the director of a school, or in all cases a "Department's/School's designee" appointed to handle academic grievances.

"Instructor" shall mean any classroom instructor, thesis/dissertation/directed study supervisor, or the Dean or supervisor who imposes the final academic decision.

"Jurisdiction" Where the course (not the student's registration status) is housed) based on the faculty member's primary academic appointment determines the appropriate forum (college or department/school) where the grievance will be conducted. The college of the faculty member's primary affiliation must share the outcomes of the grievance with the student, student's home campus and academic major. In the case where there is a joint program or it is unclear where jurisdiction shall fall, the Provost (or designee) may be consulted to identify the appropriate forum for the grievance.

"Legal Representation" Neither party shall be entitled to bring "legal representation" to any actual grievance proceeding as this is an internal review of an academic decision.

"Time(s) or Day(s)" shall mean "academic time," that is, the periods of academic session to include the days the University is open for business and delivery of academic services exclusive of holidays, emergency closings or other days where the office or academic delivery of services are closed. The person vested with authority at the appropriate level may extend any of the time periods contained herein for good cause. Any extensions must be communicated in writing to all parties. For the purposes of this Policy, each step shall be afforded fifteen (15) Academic days as a standard time limit. When a department considers a grievance according to published departmental procedures approved by the College Dean and Provost, as pertinent, the time line specified in this academic unit's procedures will govern the process and no additional notice of time extension is needed.

"Written Communication" shall mean communication by email to the recipient's USF official email address of record or e-mail communication using assigned USF e-mail addresses.

- Academic grievances will not deal with general student complaints.
- An academic grievance must include a reference to a violation of a specific USF Policy, or an academic decision that was applied differently to the grievant than other students to be considered under this Policy.
- Disagreement or issues with individual test grades, responses to exam questions or general disagreement with the academic discretion or professional judgment of instructors, (defined below as including all levels of academic administrators for purposes of this Policy) will not be considered grounds for an academic grievance, except where they impact the final grade. If a student has a concern on an individual assignment, and would like some formal consideration, the student should contact the instructor to discuss the issue and may submit the concern as a general complaint in writing to the instructor. If the student and instructor cannot resolve the complaint, the student may forward the complaint to the instructor's

- supervisor and that supervisor shall review the complaint and provide a response to both the student and instructor. If the supervisor identifies a need for a review by another office or process, the supervisor may make an additional referral.
- Dismissals based on University protocols such as failure to maintain GPA, probation for academic performance or other automatic administrative actions are not grievable.
- Only the final grades assigned in a course, final actions or dismissals by the academic unit are grievable.

IV. PROCESS STEPS:

A. Resolution Process at the Course or Department Level

- 1. If the grievance concerns the Chair/Director or other officials of the department, the student has a right to bypass the departmental/school process and proceed directly to the College Level.
- 2. The student shall first make a reasonable effort to resolve his or her grievance with the instructor concerned, with the date of the incident triggering the start of the process (i.e. the issuance of a final grade) and if the instructor determines it is feasible and may be productive, the instructor shall accommodate a reasonable request to discuss and attempt to resolve this issue.
- 3. If the situation cannot be resolved or a meeting with the instructor is not feasible, the student must file a notification letter within three (3) weeks of the triggering incident to the department Chair/Director or in cases where there are no Chairs/Directors or the Instructor is the Chair/Director to the College Dean. This shall be a concise written statement of particulars and must include specific reference to the (a) published USF Policy, procedure or official published catalog and the manner in which it was allegedly violated and the decision that affected the student's academic record or status based on a violation of that specific written USF Policy, procedure or official published catalog (b) a description of the manner in which the student was treated in a substantially inequitable manner and a statement indicating the remedy sought (c) supporting documentations of all claims in the grievance and (d) the effort the student made to resolve the issue with the instructor.
- **4.** The department Chair/Director must determine if the matter is an Academic Grievance (a specific Policy violated or a student treated differently than others) or if the matter is a complaint regarding the course or instructor.
- **5. (a)** If the Chair/Director determines that the matter **is not** an Academic Grievance, the Chair/Director will discuss the complaint with the student and/or the faculty member *and must advise the Dean of the complaint* and the recommended resolution if any. The Dean will then review the classification of the complaint as not subject to the Academic Grievance Process and advise the student and faculty member in writing of the Dean's decision which may be to do one or more of the following:

- 1.) Implement the recommendation of the Chair/Director (which can include dismissal).
- 2.) Reject the classification and move the matter forward as an academic grievance.
- 3.) Make referrals to appropriate Human Resources or employee supervisor/office for intervention and/or to appropriate USF offices (such as Diversity and Equal Opportunity Office (DEO)).
- **(b)** If the Chair/Director determines the matter **is** an Academic Grievance, the Chair shall provide a copy of the student's statement to the instructor. The instructor may file a written response to the grievance and the Process will continue.
- **6.** The department Chair/Director shall discuss the student's statement as reference above jointly or individually with the student and the instructor to see if the grievance can be resolved. If the department maintains its own grievance procedure,* it should be applied at this point. If the grievance can be resolved, the Chair/Director shall provide a statement to that effect to the student and the instructor with a copy to the College Dean.
- 7. If the grievance cannot be resolved, the department Chair/Director shall notify both the student and the instructor, informing the student of his/her right to file a written request directed back to the Chair/Director within fifteen (15) Academic days to move the grievance to a Formal Grievance Appeal. Upon receipt of the student's request for a Formal Grievance Appeal to the College Level and the instructor's response to the grievance (if provided), the Chair/Director shall immediately notify the College Dean of the Formal Grievance Appeal, providing copies of the student's initiating grievance statement, any instructor's written response to the grievance, and the written request from the student to have the process advanced to a Formal Grievance Appeal (which shall include additional student responses and final statement). Should the student not file a written request to move the grievance to a Formal Grievance Appeal within the prescribed time, the grievance will end.

B. Formal Grievance Appeal to College Level

- 1. Upon receipt of the grievance, the College Dean will review that matter to confirm that it is an Academic Grievance. If the Dean determines the matter is not an Academic Grievance, the Dean may dismiss it (which is a final University Decision) and notify all parties in writing, or if the Dean determines that it is an Academic Grievance, within fifteen (15) Academic days the Dean shall establish an Academic Grievance Committee. Each Dean shall appoint a College Dean or Administrator to maintain the Formal Grievance Appeals to the College Level with a recording of the final disposition. The membership of the Committee shall be constituted as follows:
 - **a.** Three (3) faculty members and two (2) students (undergraduate or graduate as appropriate to the case) shall be selected from the college by the Dean.

- **b.** Wherever practical, the Committee shall not include members of the faculty or students of the department directly involved with the grievance, or faculty or students of the student's major department. However, for cases involving Clinical or Professional Standard violations, the Committee shall include, when feasible, at least one member assigned to oversee or with expertise in, a clinical area.
- c. The student and/or instructor may request to attend a Committee meeting to present a final statement to the Committee. The Chair will designate which meeting the student and/or instructor may attend to present any final statement to the Committee. Only the Committee may invite additional parties such as faculty or students from the department involved with the grievance or from the student's major department or other outside party to provide expert or other relevant testimony in the proceedings. The student and/or instructor may be present during the other's final statement and may hear the additional information provided; however, neither may be present during the Committee's deliberations. The meeting time and place is to be set by the Committee. Failure or an inability of the student or instructor to attend a meeting will not force the meeting to be rescheduled or cancelled.
- **d.** The student and/or instructor may be accompanied by one individual (not to act as legal counsel or to participate in the meetings) if the student or instructor attends the meeting. The individual may be required to sign a confidentiality agreement.
- **e.** Students may not initiate contact regarding or relating to the grievance process or outcome with any member of the Committee outside of this established process before, during or after the Committee review process and any such contact may be considered a violation of <u>USF6.0021 Student Code of Conduct</u>.

2. The Committee will operate in the following manner:

- a. The Committee Chair will be appointed by the College Dean from among the three(3) faculty members appointed to the Committee.
 - The Committee Chair shall be responsible for scheduling meetings, overseeing the deliberations of the committee and ensuring that full and fair consideration is provided to all parties. The Committee Chair shall vote on committee decisions only when required to break a tie.
- **b.** All deliberations shall be in private and held confidential by all members of the Committee. The recommendation of the Committee shall be based on their interpretation of the evidence presented to it.
- **c.** Within three (3) weeks of the Committee appointment, the Committee Chair shall deliver in writing to the College Dean a report of the findings and a recommended resolution.

- **d.** Within three (3) weeks of receipt of the Committee recommendation, the College Dean shall provide a decision in writing to all parties (the student, the instructor and the department Chair/ Director). The Dean's decision shall indicate whether the decision was consistent with the Committee's recommendation.
- e. The College Dean's decision is a final decision and appealable by the instructor or student to the University level only in the event (1) the decision of the College Dean is contrary to the recommendation of the Committee (which will be indicated in the Dean's decision) or (2) if there is a specific and identified substantive procedural violation of these Student Academic Grievance Procedures. Such an appeal must be made in writing to the Dean of Undergraduate Studies or Graduate Studies (as appropriate) or their designee within fifteen (15) Academic days of receipt of the decision from the College Dean.

C. Formal Grievance Appeal to the University Level

For this level of appeal process, the Provost/Executive Vice President for Academic Affairs or the Sr. Vice President for USF Health has delegated authority for academic grievance appeals at the University Level to the Dean of Undergraduate Studies for appeals involving undergraduate courses and to the Dean of the Office of Graduate Studies for appeals involving graduate courses. The process steps are outlined below.

- 1. The student or the instructor may appeal at the University Level within three (3) weeks of the receipt of a decision made at the College Level, when (1) the decision by the College Dean is contrary to the recommendation of the Committee or (2) a party identifies a specific substantive procedural violation in the application of the AGP. Within fifteen (15) Academic days of receipt of the appeal of the decision, the Administrative Officer shall determine whether the appeal is merited. If the Administrative Officer determines the appeal is not merited, the Administrative Officer shall advise the student, the instructor and the College Dean accordingly and that notice shall be a final University Decision. If the appeal is determined to be merited, the Administrative Officer (who may consult with the Faculty Senate and Student Senate) shall appoint an Appeals Committee consisting of three (3) faculty members drawn from the appropriate USF Campus Undergraduate Council or Graduate Council, and two (2) students, undergraduate or graduate (as appropriate and to be determined by the Administrative Officer).
- 2. The structure, functions and operating procedures of the Appeals Committee will be the same as those of the College Committee (i.e. chaired by one of the appointed faculty members appointed by the Administrative Officer who will not vote except in the case of a tie, having no representation from either party's respective departments, developing a recommendation to the Administrative Officer, etc.).

- 3. Within three (3) weeks of the appointment, the Committee Chair shall deliver in writing to the Administrative Officer a report of the findings of the Committee and a recommended resolution.
- 4. Within three (3) weeks of receipt of the Committee recommendation, the Administrative Officer shall provide a decision in writing to all parties, as noted in Section IV.C.1.
- 5. If the Administrative Officer's decision is that a grade change is merited, the Administrative Officer shall initiate the grade change on the authority of the Provost and so inform all parties. In all academic grievance appeals, the Administrative Officer's decision is a final University decision and not subject to further appeal within USF.

In those cases where the final University decision constitutes a dismissal or permanent separation from the University, a student may seek judicial review pursuant to <u>Florida Rule of Appellate Procedure 9.190(b)(3)</u> by filing a petition for certiorari review with the appropriate circuit court within thirty (30) days of the final University decision. If a person seeks review with the court, a copy of the petition must also be provided to the University of South Florida Office of the General Counsel at University of South Florida, CGS 301, 4202 E. Fowler Avenue, Tampa, Florida 33620-4301.

D. Outcomes

The final outcome will vary on an individual case basis. In the case of grade appeals, USF reserves the right to change a student's grade if it is determined at the conclusion of the grievance process that the grade given was incorrect. The term "incorrect" means the assigned grade was based on something other than performance in the course, or that the assignment of the grade was not consistent with the criteria for awarding of grades as described in the course syllabus or other materials distributed to the student. In such circumstances, the Administrative Officer may file an administrative grade change. In the case of all other academic grievances, University reserves the right to determine the final outcome based on the procedures detailed herein.

Record Keeping: In the event a grievance moves to a Formal College Grievance Appeal, the grievance will be considered a Written Complaint as defined in <u>USF Policy 30-053</u> V. B. Accordingly, each College is responsible for maintaining a list of the formal grievances and their final outcomes. The Office of Undergraduate Studies or the Office of Graduate Studies are responsible to keep records of any formal grievances and their final outcomes that are appealed to them.

Current Responsible Office*: Academic Affairs

*Refer to the appropriate Responsible Office website for a current name of the Vice President or other Responsible Officer.

History: New 7-1-74, Amended 1-14-15 (technical), 8-3-17 (technical), 10-22-20 (technical).

Consolidation Amendments Effective: 7-1-20.