

# Biodegradable micro-encapsulation of active ingredients

## Background

BASF is an industry leading chemicals company creating solutions for a sustainable future. The company is seeking biodegradable micro-encapsulation technologies for active ingredients.

BASF is particularly interested in non-microplastic core / shell particles to encapsulate hydrophobic active ingredients, where microplastic is solid particle  $>1$  nm containing a non-biodegradable polymer.

Scientific challenges associated with developing a biodegradable capsule with extended release include lack of methods to adjust tightness of capsules without compromising of biodegradability. Conventional approaches, such as capsule size, shell wall thickness, shell wall polymer MW, may impact capsule tightness to less extend as desired, while chemical bonding through crosslinking (most effective way) may eventually compromise polymer biodegradability. Additionally, it is difficult to achieve desired capsule size (e.g., around 2 micron), which poses potential commercialization limitations, such as product shelf life and drone applicability.

## What we're looking for

BASF is seeking a biodegradable encapsulation material for hydrophobic active ingredients. Core-shell morphologies are of high interest, but relevant matrix capsules and other morphologies will be considered.

### Solutions of interest include:

- Active ingredients could be assumed as hydrophobic liquid at 25C
- Capsule sizes should ideally be  $d(50) < \sim 6 \mu\text{m}$  and  $d(90) < \sim 15 \mu\text{m}$
- The active ingredient concentration to be encapsulated is  $>10\%$
- The tightness of capsules / active ingredient release profile is adjustable
- A  $>90\%$  encapsulation efficiency is ideal
- Cost-effective solution: ideally  $< \sim 20$  \$/kg for the capsule material and  $< \sim 2$  \$/kg for manufacturing
- Biodegradable as per ISO 17556:2012: 90% ultimate degradation in soil at 12 °C within 24 months

### **Our must-have requirements are:**

- Safe chemistry that doesn't introduce hazardous substances or generate microplastics
- Suspension in water or oil

### **Our nice-to-have requirements are:**

- Green chemistry approaches: polymers from natural sources/resources
- TRL3 technology has been developed for licensing / commercialization

### **What's out of scope:**

- PU/PA based chemistries
- Dry/powder encapsulants

### **Acceptable technology readiness levels (TRL): Levels 3-9**

1. Basic principles observed
2. Concept development
3. Experimental proof of concept
4. Validated in lab conditions
5. Validated in relevant environment
6. Demonstrated in relevant environment
7. Regulatory approval
8. Product in production
9. Product in market

## **What we can offer you**

### **Eligible partnership models:**

- **Sponsored research**
- **Material transfer**
- **Supply/purchase**

### **Benefits:**

#### **Sponsored Research**

We will screen the potential ideas and depending on the maturity level, set up a sponsored research collaboration (typically \$50k-\$150k for a one-year collaboration).

#### **Expertise**

Decades of industrial expertise and institutional knowledge

#### **Tools and Technologies**

Trials with experimental materials, support with BASF raw materials and active ingredients, and characterization/testing of encapsulant materials.

## **Reviewers**

### **Tom Holcombe**

Collaboration & Scouting NA

### **Lauren Junker**

Technology Scout

### **Kavita Bitra**

Technology scout

Please contact the University of South Florida Technology Transfer office representative for submission – Karla Schramm at [kschramm@usf.edu](mailto:kschramm@usf.edu)