



NASA Information and Questionnaire Regarding Restrictions on Funding Activities with the People's Republic of China

Project Title:

Project Sponsor:

Proposal/Award Number:

Principal Investigator(s):

Language included in NASA Appropriations Acts restricts NASA from using funding for grants and cooperative agreements to participate, collaborate, or coordinate bilaterally in any way with China or any Chinese-owned company, including efforts undertaken in a “no-exchange of funds” agreement.

NASA guidance, issued in February of 2012, defines China or Chinese-owned company as the “People’s Republic of China, any company owned by the People’s Republic of China, or any company incorporated under the laws of the People’s Republic of China”. This restriction applies at the prime contract level as well as any sub-recipient level. The University of South Florida is, therefore, restricted from collaborating with, or issuing a subaward to China or any Chinese owned company for work related to a NASA funded project.

The NASA Appropriation Acts do not expressly forbid, but may place certain restrictions on the use of NASA funds to support Chinese national students or visiting scholars. The restriction focuses on the existence of the individual’s affiliation with China or a Chinese-owned company, regardless of the citizenship or location of the individual. Projects that involve Chinese national participation may trigger a review on a case-by-case basis by NASA grant and technical program officers prior to awarding or when renewing grants or cooperative agreements. If collaboration with an individual who has ties to the Chinese government or a Chinese-owned company is anticipated, Sponsored Research must contact the contracting officer to determine if funding may be used for that purpose. The University of South Florida will continue to monitor these developments to ensure that the citizenship restrictions are not accepted in violation of University policy.

These restrictions do not apply to commercial items of supply needed to perform a contract, grant, or cooperative agreement.

Further information related to the NASA Appropriations restrictions can be found at: <http://www.hq.nasa.gov/office/procurement/regulations/pic12-01A.html>

The following questionnaire is required prior to opening an award to assure the University of South Florida remains in compliance with NASA's Funding Restriction.

1. Does the project involve collaboration or coordination with or participation of a Chinese-owned company or the Chinese government (whether the activity is funded or unfunded)? This may include institutions of higher education. Yes No

If yes, please include the name of the entity and the role in the project.

2. Does the project involve collaboration or coordination with or participation of any individuals associated or affiliated with a Chinese-owned company or the Chinese government (whether the person is USF personnel or not)? Yes No

If yes, please include the name of the individual and their role in the project.

3. Does the project involve collaboration with or participation of a Chinese national (including students and post-docs)?

Yes No

If yes, please include the individual's name, their role in the project and their email address. Additional questions will be directed to these individuals.

I certify the above information is accurate and true to the best of my knowledge. I certify that as Principal Investigator, I am aware of the above referenced restrictions.

Signature:

Date:

Email: